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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application No.	09/476,633
		Filing Date	December 31, 1999
		First Named Inventor	Li-Shun Wang
		Art Unit	2823
		Examiner Name	Garcia, Joannie A.
Total Number of Pages in This Submission	19	Attorney Docket Number	42390P7832

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 5px;">         Comments on Statement of Reasons for Allowance Transmittal of Formal Drawings Return receipt postcard       </div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Angelo J. Gaz, Reg. No. 45,907 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	October 6, 2004

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			
Typed or printed name	Nadya Gordon		
Signature		Date	October 6, 2004

Based on PTO/SB/21 (04-04) as modified by Blakely, Sokoloff, Taylor & Zafman (wtr) 06/04/2004.  
 SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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# FEE TRANSMITTAL for FY 2004

Effective 01/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

### Complete if Known

Application Number	09/476,633
Filing Date	December 31, 1999
First Named Inventor	Li-Shun Wang
Examiner Name	Garcia, Joannie A.
Art Unit	2823
Attorney Docket No.	42390P7832

### METHOD OF PAYMENT (check all that apply)

- Check   
  Credit card   
  Money Order   
  Other   
  None
- Deposit Account

Deposit Account Number: 02-2666

Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below   
  Credit any overpayments
- Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.
- Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	790	2001	395	Utility filing fee	
1002	350	2002	175	Design filing fee	
1003	550	2003	275	Plant filing fee	
1004	790	2004	395	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					

#### 2. EXTRA CLAIM FEES

Total Claims		-	27**	=		X		=	
Independent Claims		-	9	=		X		=	
Multiple Dependent									

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	88	2201	44	Independent claims in excess of 3	
1203	300	2203	150	Multiple Dependent claim, if not paid	
1204	88	2204	44	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					

\*\*or number previously paid, if greater. For Reissues, see below

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

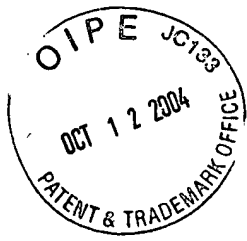
Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920 *	1804	920 *	Requesting publication of SIR prior to Examiner action	
1805	1,840 *	1805	1,840 *	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	430	2252	215	Extension for reply within second month	
1253	980	2253	490	Extension for reply within third month	
1254	1,530	2254	765	Extension for reply within fourth month	
1255	2,080	2255	1,040	Extension for reply within fifth month	
1404	340	2401	170	Notice of Appeal	
1402	340	2402	170	Filing a brief in support of an appeal	
1403	300	2403	150	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,370	2453	685	Petition to revive - unintentional	
1501	1,370	2501	685	Utility issue fee (or reissue)	
1502	490	2502	245	Design issue fee	
1503	660	2503	330	Plant issue fee	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					
SUBTOTAL (3)					

\* Reduced by Basic Filing Fee Paid

### SUBMITTED BY

### Complete (if applicable)

Name (Print/Type)	Angelo J. Gaz	Registration No. (Attorney/Agent)	45,907	Telephone	(310) 207-3800
Signature		Date	10/06/04		



Attorney's Docket No.: 42390P7832

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application for:

**Li-Shun Wang, John Chu**

Serial No.: 09/476,633

Filed: December 31, 1999

For: **REMOVAL OF RESIDUE FROM A  
SUBSTRATE**

Examiner: Garcia, Joannie A.

Art Group: 2823

Confirmation No.: 8091

**COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE**

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

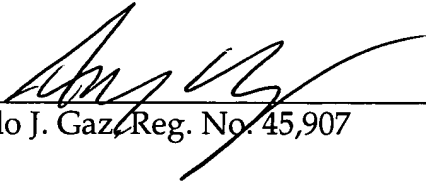
The examiner's Statement of Reasons for Allowance include "the prior art taken alone or in combination neither discloses nor makes obvious the instant invention including introducing a second agent comprising hydrogen peroxide onto a surface of a metal plug through a polisher while polishing a first agent a metal layer formed over the metal plug; and after polishing a metal layer formed over a metal plug, spraying a solution comprising hydrogen peroxide onto a surface of the metal plug, wherein spraying includes driving at least one particle off the surface of the metal plug with the solution." Applicant points out that the limitations cited above are from more than one independent claim. Specifically, "introducing a second agent comprising hydrogen peroxide onto a surface of the metal plug through a polisher while polishing with a first agent a metal layer formed over the metal plug," is from claim 1 while "after polishing a metal layer over a metal plug, spraying a solution comprising hydrogen peroxide

onto a surface of the metal plug, wherein spraying includes driving at least one particle off the surface of the metal plug with the solution," is from claim 9.

Claims 1-4, 6, 8-11, and 13-28 were examined and found to be allowable over the prior art. Applicants appreciate the Examiner's allowance of the claims.

Respectfully submitted,  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

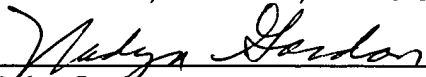
Date: 10/6/04

  
\_\_\_\_\_  
Angelo J. Gaz, Reg. No. 45,907

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CERTIFICATE OF MAILING

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\_\_\_\_\_  
Nadya Gordon

10/6/04  
\_\_\_\_\_  
Date



Express Mail No.: EL651846516US  
DOCKET NO.: 42390P7832

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

LI-SHUN WANG, ET AL.

Application No.: 09/476,633

Filed: December 31, 1999

For: **REMOVAL OF RESIDUE FROM A  
SUBSTRATE**

Art Group: 2823

Examiner: Garcia, Joannie A.

Commissioner for Patents  
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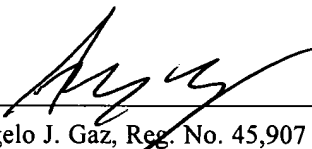
**TRANSMITTAL OF FORMAL DRAWINGS**

Sir:

Enclosed herewith for filing in the above-identified U.S. Patent Application are the formal drawings, 12 sheets including 13 Figures. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-2666. A duplicate copy of the Fee Transmittal is enclosed for this purpose.

Respectfully submitted,  
Blakely, Sokoloff, Taylor & Zafman LLP

Dated: October 6, 2004

  
Angelo J. Gaz, Reg. No. 45,907

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Los Angeles, CA 90025  
Telephone: (310) 207-3800